

Receipt



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : GUPTA, et al.
FOR : HIGH AVAILABILITY DATA
REPLICATION OF AN R-TREE INDEX
SERIAL NO. : 10/659,628
FILED : September 10, 2003
ART UNIT : 2141
CONFIRMATION NO. : 5604
ATTORNEY DOCKET NO. : SVL920030060US1

REQUEST FOR CORRECTED FILING RECEIPT

OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

1. Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected Filing Receipt is respectfully requested.

2. Attached is a copy of the Declaration that was filed on September 10, 2003 showing the correct city address of the second listed applicant, Karl Ostner.

3. There is an error in that the following data is:

- ☒ incorrectly entered
and/or
☐ omitted
☐ Applicant's name
☒ Applicant's address
☐ Title
☐ Filing Date
☐ Serial Number
☐ Foreign/PCT Application Reference

☐ Other

in that the Filing Receipt should read as follows:

Karl Ostner, St. Wolfgang, GERMANY

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

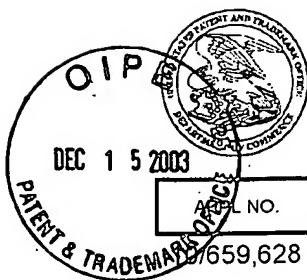
11 DEC 03
Date

Michael E. Hudzinski
Michael E. Hudzinski
Reg. No. 34,185
1100 Superior Avenue
Seventh Floor
Cleveland, OH 44114-2518
216/861-5582

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service on December 11, 2003 and is addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barbara Brazier
Barbara Brazier



UNITED STATES PATENT AND TRADEMARK OFFICE

IBMZ 2 00036

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APP. NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
659,628	09/10/2003	2141	750	SVL920030060US1	3	20	3

CONFIRMATION NO. 5604

Michael E. Hudzinski
 FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP
 Seventh Floor
 1100 Superior Avenue
 Cleveland, OH 44114-2579

RECEIVED

DEC 4 2003

FAY, SHARPE, BEALL, FAGAN,
 MINNICH & McKEE

FILING RECEIPT



OC000000011399632

Date Mailed: 12/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ajay K. Gupta, Fremont, CA;
 Karl Ostner, Wolfgang, GERMANY;

Assignment For Published Patent Application

International Business Machines Corporation;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: 03/10/2005

Non-Publication Request: No

Early Publication Request: No

Title

High availability data replication of an R-tree index

DOCKETED

Preliminary Class

709



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).